

NORTH YORKSHIRE COUNTY COUNCIL**AUDIT COMMITTEE****22 JUNE 2017****AUDIT COMMITTEE WORK PROGRAMME****Report of the Corporate Director, Strategic Resources****1.0 Purpose of the Report**

- 1.1 The Audit Committee is scheduled to hold meetings on the following dates for the rest of the year.

22 June 2017

13 July 2017

7 September 2017

28 September 2017

30 November 2017

- 1.2 The acceleration of the Statement of Final Accounts, however, requires the Council to work towards an earlier deadline. Historically the Audit Committee has considered a draft Statement of Final Accounts in the July meeting following a more in depth review of Governance and the Annual Governance Statement in the June meeting. You will see in the agenda for this meeting, both the draft Statement of Final Accounts and the consideration of AGS and wider Governance arrangements are both presented for Members' attention. As a result, it is considered that it is possible to cancel the July meeting of the Audit Committee and, in the interim, the Governance Working Group can continue to review whatever it deems necessary.
- 1.3 A reserve date of 28 September has been provided for final sign off of the Statement of Final Accounts for the Audit Committee should it not be possible to achieve that objective by 7 September. Clearly if it is possible to complete the work by 7 September then the later meeting can be cancelled. At this stage, Members are requested to keep the date available should it be required.
- 1.4 It was intended that the Audit Committee would be presented with a review of CYPS Internal Audit reports and the Directorate would be represented in order to present key areas of challenge and take questions from Members. Unfortunately, changes in personnel at Assistant Director level mean that it has not been possible to have CYPS attendance for the June meeting and it has been rescheduled for September. The new Director of CYPS will also be in place for that particular meeting.
- 1.5 Informal briefing sessions had provisionally been scheduled before the July and later September meetings are it will therefore be necessary to reschedule

these discussions. A verbal update will be provided to the Audit Committee and views sought as to priorities.

- 1.6 **Appendix 1** identifies the current Work Programme and **Appendix 2** identifies how it would look if the July and later September meeting were to be cancelled.

2.0 Recommendation

- 2.1 Audit Committee are requested to note the contents of this report and consider the issues raised therein.

Report prepared and presented by Gary Fielding, Corporate Director, Strategic Resources

GARY FIELDING
Corporate Director, Strategic Resources
County Hall
Northallerton

22 June 2017

AUDIT COMMITTEE - PROGRAMME OF WORK 2017 / 18

ANNUAL WORKPLAN		JUNE 17	JULY 17	SEPT 17	SEPT 17 PROVISIONAL	DEC 17
Audit Committee Agenda Items						
A	Training for Members (as necessary)	2	3	TBA		TBA
	Annual Internal Audit Plan 2017/18	x				
	Annual report of Head of Internal Audit 2015/16	x				
	Progress Report on Annual Internal Audit Plan 2016/17			x		x
	Internal Audit report on Children and YP's Service			x		
	Internal Audit report on Computer Audit/Corporate Themes/Contracts			x		
	Internal Audit report on Health and Adult Services			x		
	Internal Audit report on BES					x
	Internal Audit report on Central Services					
B	Annual Audit Letter					x
	Annual Audit Plan 2015/16 (NYCC & NYPF)					
	Annual Report / Letter of the External Auditor	x		x		
	Interim Audit Report	x				
	Discussion with External Auditor on 1-to-1 basis					
C	Statement of Final Accounts including AGS (NYCC + NYPF)	x		x	x	
	Letter of Representation			x		
	Chairman's Annual Report			x		
	Effectiveness of Audit Committee	x				
	Changes in Accounting Policies					
	Corporate Governance – review of Local Code + AGS	x				
	– progress report inc re AGS	x				
	Risk Management (inc Corporate R/R) – progress report	x				x
	Partnership Governance – progress report	x				
	Information Governance – progress report					
	Review of Finance/Contract/Property Procedure Rules					
	Business Continuity	x				
	Audit Committee Terms of Reference					x
	Counter Fraud					
	Contract Management					x
	Treasury Management – Executive February					
Corporate Procurement Strategy	x					
VFM Review						
D	Work Programme	x	x	x		x
	Progress on issues raised by the Committee (inc Treasury Management)	x		x		x
E	Agenda planning / briefing meeting	06/06				
	Audit Committee Agenda/Reports deadline	12/06				
	Audit Committee Meeting Dates	22/06	13/07	07/09	28/09	30/11

A = Internal Audit
 B = External Audit
 C = Statement of Final Accounts / Governance
 D = Other
 E = Dates

⊙ before formal meeting
 1 Cyber Security and General Information Governance
 2 Modern Council 2020 Programme
 3 Health / HAS – Richard Webb and Jim Clark
 Sessions to be sorted

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	Annual report of Head of Internal Audit 2015/16	*		
	Progress Report on Annual Internal Audit Plan 2016/17		*	*
	Internal Audit report on Children and YP's Service		*	
	Internal Audit report on Computer Audit/Corporate Themes/Contracts		*	
	Internal Audit report on Health and Adult Services		*	
	Internal Audit report on BES			*
	Internal Audit report on Central Services			
B	Annual Audit Letter			*
	Annual Audit Plan 2015/16 (NYCC & NYPF)			
	Annual Report / Letter of the External Auditor	*	*	
	Interim Audit Report	*		
	Discussion with External Auditor on 1-to-1 basis			
C	Statement of Final Accounts including AGS (NYCC + NYPF)	*	*	
	Letter of Representation		*	
	Chairman's Annual Report		*	
	Effectiveness of Audit Committee	*		
	Changes in Accounting Policies			
	Corporate Governance – review of Local Code + AGS	*		
	– progress report inc re AGS	*		
	Risk Management (inc Corporate R/R) – progress report	*		*
	Partnership Governance – progress report	*		
	Information Governance – progress report			
	Review of Finance,/Contract/Property Procedure Rules			
	Business Continuity	*		
	Audit Committee Terms of Reference			*
	Counter Fraud			
	Contract Management			*
	Treasury Management – Executive February			
Corporate Procurement Strategy	*			
VFM Review				
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